

NOV 0 9 2004

Technology Center 2600

AF/2686

Atty. Docket No. ORTV.P004

PATENT

IN THE UNITED STATES PATENT OFFICE

In Re Patent Application of: Examiner: Joy Kimberly Contee Art Unit: 2686 Graham V. Poor et al. Application No. 09/873,103 Filed: May 31, 2001 For: SYSTEM AND METHOD FOR REMOTE APPLICATION MANAGEMENT OF A WIRELESS DEVICE

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8 I hereby certify that the following correspondence is being deposited with the United States Postal Service with sufficient postage and addressed to MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450 on the date below by: Barbara B. Courtney (Name of person transmitting correspondence) November 2, 2004

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97

Sir:

Enclosed is an Information Disclosure Citation Form PTO/SB/08 citing U.S. patent references and one non-patent reference. A copy of the non-patent reference is enclosed. It is respectfully requested that the cited references be considered and that the enclosed copy of the Form PTO/SB/08 be initialed and returned by the Examiner to indicate such consideration.

Pursuant to 37 CFR 1.97(h), the submission of this Information Disclosure Statement is not to be construed as an admission that the information cited in this statement is material to patentability.

This Information Disclosure Statement is being submitted pursuant to 37 CFR 1.97(c), and is accompanied by a Fee Transmittal and a check for the fee under 37 CFR 1.17(p) of \$180.

AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT

The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this submission to Deposit Account No. 501914.

Respectfully submitted,

Shemwell Gregory & Courtney LLP

Date: November 2, 2004

Barbara B. Courtney, Reg. No. 42,447 Tel. 408-236-6647



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Technology Center 2000

I hereby certify that the following correspondence is being deposited with the United States Postal Service with sufficient postage and addressed to MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450 on the date below by: Barbara B. Courtney (Name of person transmitting correspondence) November 2, 2004 Date

	g/Issue Date: May 31, 2001 Client: Orative, Inc.
Title: System and Method for Remote Application	n Management of a Wireless Device
Atty. Docket No.: ORTV.P004	Date Mailed: November 2, 2004
The following has been received in the U.S. Par	tent & Trademark Office on the date stamped hereon:
Amendment/Response (pgs.)	Petition for Extension of Time (month(s))
Preliminary Amendment (pgs.)	☑ Information Disclosure Statement & PTO/SB/08A
Application - Utility (pgs.)	☑ Information Disclosure Statement Transmittal
Application - Rule 1.53(b) Contin. (pgs.)	☐ Submission of Formal Drawings
Application - Rule 1.53(b) Divis. (pgs.)	☐ Notice of Appeal
Application - Rule 1.53(b) CIP (pgs.)	Appeal Brief (pgs. in triplicate)
Application - Rule 1.53(d) CPA (pgs.)	Reply Brief
Application - PCT (pgs.)	Response to Notice of Missing Parts
Application - Provisional (pgs.)	Utility Patent Application Transmittal
☐ Drawings (sheets)	Fee Transmittal (in duplicate)
Declaration & POA (pgs.)	☑ Itemized Postcard
Assignment & Cover Sheet (pgs.)	First Class Mail Certificate Of Mailing
☐ Power of Attorney	Express Mail No.
☐ Nonpublication Request (35 USC 122(b))	☑ Check No. 3823 Amt \$180.00
Other One (1) non-patent reference	

NOV 0 5 2004

PTO/SB/17 (10-04v2)

(Complete (if applicable))

Telephone 408-236-6647

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TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

SUBMITTED BY

Name (Print/Type)

Barbara B. Courtney

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(4)	•	vv	٠,	v

Co	omplete if Known
Application Number	09/873,103
Filing Date	05/31/2001
First Named Inventor	Graham V. Poor
Examiner Name	Joy Kimberly Contet 0 V 0 9 2004
Art Unit	2686
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METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Check Credit card Money Other None	3. A	DDITI	ONAL	_ FEE	S	
Deposit Account:	<u>Large</u>	Entity	Small	Entity	•	
Donosit	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account Number	1051	130	2051		Surcharge - late filing fee or oath	Fee Faio
Deposit	1052	50	2052		Surcharge - late provisional filing fee or	
Account Name	4050	400	4050	400	cover sheet	
The Director is authorized to: (check all that apply)	1053	130 2,520	1053		Non-English specification For filing a request for <i>ex parte</i> reexamination	
Charge fee(s) indicated below Credit any overpayments	1804	920*	1804		Requesting publication of SIR prior to	
Charge any additional fee(s) or any underpayment of fee(s)	1004	320	1004	320	Examiner action	<u> </u>
Charge fee(s) indicated below, except for the filing fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
to the above-identified deposit account.	1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION	1252	430	2252	215	Extension for reply within second month	
1. BASIC FILING FEE Large Entity Small Entity	1253	980	2253	490	Extension for reply within third month	
Fee Fee Fee Fee Description Fee Paid	1254	1,530	2254	765	···	
Code (\$) Code (\$) 1001 790 2001 395 Utility filing fee	1255	2.080	2255	1.040	Extension for reply within fifth month	
1001 790 2001 395 Utility filing fee 1002 350 2002 175 Design filing fee	1401	340	2401		Notice of Appeal	
1003 550 2003 275 Plant filing fee	1402	340	2402		Filing a brief in support of an appeal	
1004 790 2004 395 Reissue filing fee	1403	300	2403		Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$)	1452	110	2452		Petition to revive - unavoidable	
	1453	1,370	2453	685	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,370	2501		Utility issue fee (or reissue)	
Extra Claims below Fee Paid	1502	490	2502	245	Design issue fee	
Total Claims20** = X =	1503	660	2503	330	Plant issue fee	
Claims - 3** = X = = Multiple Dependent	1460	130	1460	130	Petitions to the Commissioner	
	1807	50	1807	7 50	Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt	180
Code (\$) Code (\$)	8021	40	8021	I 40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20	1809	790	2809	395	Filing a submission after final rejection	
1201 88 2201 44 Independent claims in excess of 3					(37 ČFR 1.129(a))	
1203 300 2203 150 Multiple dependent claim, if not paid	1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))	
1204 88 2204 44 ** Reissue independent claims over original patent	1801	790	2801	395		
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	(
SUBTOTAL (2) (\$)	Other	fee (sp	ecify) _			
**or number previously paid, if greater; For Reissues, see above	*Redu	ced by	Basic f	Filing F	ee Paid SUBTOTAL (3) (\$) 180	

Signature Date 11/02/2004 WARNING: Information on this formay become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No.

42,442

NOV 0 5 2004

PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	09/873,103			
Filing Date	05/31/2001			
First Named Inventor	Graham V. Poor			
Art Unit	2686			
Examiner Name	Joy Kimberly Contee			
Attorney Docket Number	ORTV.P004	1		

			U. S. PATEN1	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ^{2 (# known)}	00.4007	Keen Henry D	
	<u> </u>	^{US-} 5,664,091	09-1997	Keen, Henry D.	
		^{US-} 5,084,877	01-1992	Netravali et al.	CEIVED
		^{US-} 5,444,718	08-1995		
		^{US-} 6.076,113	06-2000	Ramanathan et al.	y 0 9 2004
		^{US-} 5,222,061	06-1993	Doshi et al.	
		^{US-} 5,673,322	09-1997	Pepe et al. Tachno	ogy Center 2600
		^{US-} 6,112,323	08-2000	Meizlik et al.	
		^{US-} 6,289,362	09-2001	Van der Meer, J.	
		^{US-} 5,546,382	08-1996	Fujino, Nobutsugu	
		^{US-} 5,598,534	01-1997	Haas	
		^{US-} 5,842,210	11-1998	Chen et al.	
		^{US-} 5,831,664	11-1998	Wharton et al.	
		^{US-} 5,809,415	09-1009	Rossman	
		^{US-} 5,790,536	08-1998	Mahany et al.	
		us- 5,564,070	10-1996	Want et al.	
		^{US-} 5,465,401	11-1995	Thompson	
		^{US-} 5,327,554	07-1994	Palazzi, III et al.	
	1	^{US-} 5,029,183	07-1991	Tymes	
		^{US-} 6,014,429	01-2000	LaPorta et al.	

		FORE	IGN PATENT DOCU	MENTS		
Examiner Cite	Cite No.1		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
	Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>il known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	Τ'	
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Examiner	 Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Nicind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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Complete if Known

Substitute for form 1449/PTO

Sheet 2

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

 Complete if Known

 Application Number
 09/873,103

 Filing Date
 05/31/2001

 First Named Inventor
 Graham V. Poor

 Art Unit
 2686

 Examiner Name
 Joy Kimberly Contee

 Attorney Docket Number
 ORTV.P004

				DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 6,442,251 B1	08-2002	Maes et al.	
-		^{US-} 6,658,409 B1	12-2003	Nomura et al.	F
		^{US-} 6,417,874 B2	07-2002	Bodnar, Eric O.	RECEIVE
		^{US-} 6,610,105	08-2003	Martin et al.	
		^{US-} 6,397,259	05-2002	Lincke et al.	NOV 0 9 200
		^{US-} 6,584,564	06-2003	Olkin et al.	7- , .
		^{US-} 6,600,930	07-2003	Sakurai et al.	Technology Center 2
		^{US-} 6,567,416	05-2003	Chuah, Mooi Choo	Of Conton
•		^{US-} 5,742,668	04-1998	Pepe et al.	
		^{US-} 5,742,905	04-1998	Pepe et al.	
		^{US-} 6,061,718	05-2000	Nelson, Michael P.	
		^{US-} 6,457,879	10-2000	Thurlow et al.	
		^{US-} 5,457,680	10-1995	Kamm et al.	
		^{US-} 6,590,588	07-2003	Lincke et al.	
		US-			

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Examiner Cite Fore Initials* No.1		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	1
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Examiner	Date	
Signature	Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO **Application Number** 09/873,103 INFORMATION DISCLOSURE Filing Date 05/31/2001 STATEMENT BY APPLICANT First Named Inventor Graham V. Poor NOV 0 9 2004 **Art Unit** 2686 Joy Kimberly Contee Connology Center 2500 (Use as many sheets as necessary) **Examiner Name** Attorney Docket Number Sheet 3 ORTV.P004 3

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Class Bus, Copyright 2000, Damian Fauth, http://jsrvany.sourceforge.net/docs/net/sourceforge/jsrvany/test/Bus.html	

Examiner	Date	
Signature	Considered	

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¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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